

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: John E. Burton

Serial No.: 09/941,235

Group Art Unit: 2875

Filed: August 28, 2001

Examiner: Not yet assigned

For: ADJUSTER FOR HEADLAMP ASSEMBLY

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

**RESPONSE**

1. This is a Response to the Notice of Missing Parts dated September 28, 2001. Enclosed are a copy of the Notice of Missing Parts, a check in the amount of \$1,158.00, a Combined Declaration and Power of Attorney, and an Information Disclosure Statement and references. Please indicate receipt thereof by returning the enclosed postcard.

**STATUS**

2. Applicant is  
☐ a small entity.  
☒ other than a small entity.

**EXTENSION OF TIME**

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

(complete (a) or (b) as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$ 200.00
<input type="checkbox"/> three months	\$ 920.00	\$ 460.00
<input type="checkbox"/> four months	\$ 1,440.00	\$ 720.00

Fee \$ \_\_\_\_\_

**If an additional extension of time is required please consider this a petition therefor.**

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ month(s) has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

#### **FEE PAYMENT**

4. ☒ Attached is a check in the amount of \$ 1,158.00 to cover the basic filing fee, additional claims fee, and missing parts surcharge.
- ☐ Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_.

A duplicate of this transmittal is attached.

## FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

5. [X] If any additional extension and/or fee is required, charge Account No. 07-1509.

### AND/OR

[X] If any additional fee for claims is required, charge Account No. 07-1509.

Reg. No.: 39,997

Tel. No.: 414-273-3500

Brian G. Gilpin  
SIGNATURE OF ATTORNEY

Nov 27, 2001  
DATE

Brian G. Gilpin  
NAME OF ATTORNEY

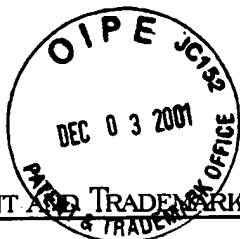
Godfrey & Kahn, S.C.  
FIRM NAME

780 North Water Street  
Milwaukee, WI 53202  
ADDRESS

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in  
an envelope addressed to: Box Missing Parts, Commissioner For Patents,  
Washington, D.C. 20231 on 11/27/01.

Renee Deering  
Name:

MW570633\_1.DOC



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,235	08/28/2001	John E. Burton	053990-0027

CONFIRMATION NO. 5285

FORMALITIES LETTER



\*OC000000006813756\*

20572  
GODFREY & KAHN S.C.  
780 NORTH WATER STREET  
MILWAUKEE, WI 53202

Adjustment date: 12/10/2001 ETULUI  
12/05/2001 AMONDAF1 00000077 09941235  
03 FC:103 -288.00 OP

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/10/2001 ETULUI 00000012 09941235

FILED UNDER 37 CFR 1.53(b)

01 FC:102 252.00 OP  
02 FC:103 36.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$276.
  - \$36 for 2 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1116.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2001 AMONDAF1 00000077 09941235

740.00 OP  
130.00 OP  
208.00 OP

01 FC:101  
02 FC:105  
03 FC:103